

PATENT

Receipt
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Scheiwe, M., et al Examiner: Unassigned
Application No.: 10/527,555 Group Art Unit: 1615
(U.S. Application Based on
PCT/CH2003/000615)
Confirmation No: 5860 Docket: 753-47 PCT/US
Filed: March 11, 2005 Dated: February 7, 2006
For: STABLE CREAM PREPARATIONS OF PHENYL-PYRIDONE
COMPOUNDS FOR TOPICAL APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

~~REMARK~~
*I hereby certify this correspondence is being deposited
with the United States Postal Service as first class mail,
postpaid in an envelope, addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, Virginia 22313-1450
on February 7, 2006.*

Signed: Kim Tillman
Kim Tillman

REQUEST FOR CORRECTION OF APPLICATION
FILING RECEIPT

Sir:

In reviewing the Filing Receipt for the above-identified application, it has come to our attention that the spelling of the second inventor's last name still has not been correctly listed on the Filing Receipt. In particular, the inventor's name is incorrectly listed as follows: "Yalcin Centinkaya". The correct listing should read --Yalcin Cetinkaya--. A copy of the Recordation Form Cover Sheet and Assignment is enclosed, which documents the correct spelling of the inventor's name.

A copy of the Filing Receipt including the changes thereon is enclosed.

Applicants: Scheiwe, M., et al
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Page 2

In view of the above, correction of the Filing Receipt is respectfully requested. If any fees are required, please charge to Deposit Account No. 08-2461.

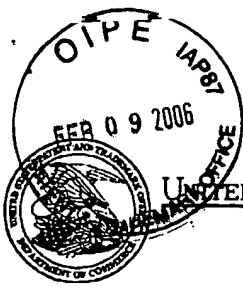
If there are any questions with respect to this matter, please direct them to the undersigned agent.

Respectfully submitted,



Christina L. Warrick
Registration No.: 45,690
Attorney for Applicant(s)

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791
(973) 331-1700

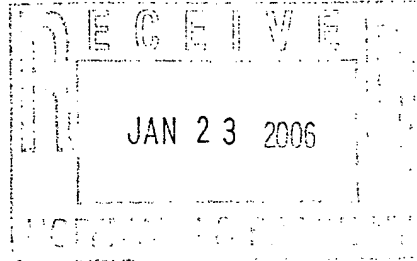


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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/527,555	03/11/2005	1615	1300	753-47 PCT/US		20	1

23869
 HOFFMANN & BARON, LLP
 6900 JERICHO TURNPIKE
 SYOSSET, NY 11791



CONFIRMATION NO. 5860

CORRECTED FILING RECEIPT



OC000000017877979

Date Mailed: 01/19/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Max Werner Scheiwe, Maulburg, GERMANY;
 Yalcin ~~Cetinkaya~~, Frenkendorf, SWITZERLAND;
Cetinkaya

Assignment For Published Patent Application

MEPHA AG, AESCH, SWITZERLAND

Power of Attorney: The patent practitioners associated with Customer Number **23869**.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/CH03/00615 09/11/2003

Foreign Applications

SWITZERLAND 1556/02 09/13/2002

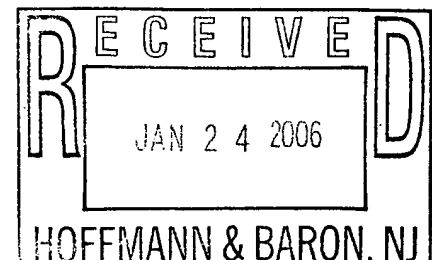
If Required, Foreign Filing License Granted: 01/19/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/527,555**

Projected Publication Date: 02/23/2006

Non-Publication Request: No

Early Publication Request: No



Title

Stable cream preparations of phenyl-pyridone compounds for topical application

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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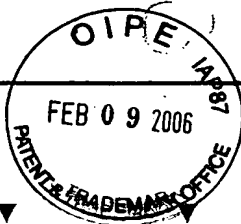
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



RECORDATION FORM COVER SHEET

Docket No.: 753-47 PCT/US

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

Tab settings → → → ▼ ▼ ▼ ▼ ▼

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Max SCHEIWE
Yalcin CETINKAYA

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: February 11, 2005

2. Name and address of receiving party(ies):

Name: Mepha AG

Address: Dornacherstrasse 114

City: Aesch

State/Prov.:

Country: Switzerland

ZIP: CH-4147

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: March 11, 2005

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel A. Scola, Jr.

Registration No. 29,855

Address: Hoffmann & Baron, LLP

6900 Jericho Turnpike

City: Syosset

State/Prov.: NY

Country: USA

ZIP: 11791-4407

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christina L. Warrick

Name of Person Signing

Signature

March 11, 2005

Date

5

Total number of pages including cover sheet, attachments, and

Attorney's Docket No.: _____

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
By: ☒ PCT Application
☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Max SCHEIWE	Yalcin CETINKAYA	
Name	Name	Name
Adolf Strübe Strasse 16	Eggstrasse 33	
Address	Address	Address
DE-79689 Maulburg, Germany	CH-4402 Frenkendorf, Switzerland	
German	Turkish	
Nationality	Nationality	Nationality
Name	Name	Name
Address	Address	Address
Nationality	Nationality	Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Mepha AG

(Type or print name of ASSIGNEE)

Dornacherstrasse 114

Address

CH-4147 Aesch, Switzerland

Swiss

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**STABLE CREAM PREPARATIONS OF PHENYL-PYRIDONE COMPOUNDS FOR
TOPICAL APPLICATION**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☐ U.S. application Serial No. _____ filed on _____
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____

- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: <u>X 11 Febr. 2005</u> Date of signing	<u>X</u> Max SCHEIWE
Date: <u>X 11 Febr. 2005</u> Date of signing	<u>X</u> Yalcin CETINKAYA
Date: <u>X</u> Date of signing	<u>X</u>
Date: <u>X</u> Date of signing	<u>X</u>
Date: <u>X</u> Date of signing	<u>X</u>
Date: <u>X</u> Date of signing	<u>X</u>
Date: <u>X</u> Date of Signing	<u>X</u>

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.